



CUSTODY CONTROLS

Digital Asset Custody Guide

Qualified Custodian Integration, Segregation, and Control Standards

Unykorn Capital Markets

5655 Peachtree Parkway, Suite 100, Norcross, Georgia 30099
+1 (470) 871-0517 | bd@unkorn.org | partners@unkorn.org
www.unykorn.org | brokerdealer.unykorn.org

Classification:

Restricted — BD Only

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OVERVIEW

Unykorn integrates with four institutional custody providers: Anchorage Digital, BitGo, Copper, and Fireblocks. Each custodian connection supports segregated account structures under the sponsoring broker-dealer's entity name, consistent with qualified custodian obligations under the Investment Advisers Act and applicable SEC guidance.

When investor subscription funds are received, the platform's settlement engine routes assets to the designated custodian sub-account for the relevant program. Redemption and distribution transactions are authorized through the platform's multi-signature approval workflow, requiring sign-off by the sponsoring broker-dealer's designated operations contact before execution.

Custody fees are separate from Unykorn platform fees and are negotiated directly between the sponsoring broker-dealer and the custodian. Unykorn provides technical integration only and receives no economic participation in custody fee arrangements. Custody provider selection is made by the sponsoring firm; all four integrations are supported with equivalent capability.



PARTNER IMPLEMENTATION CHECKLIST

- 1 Select custody provider and establish institutional account
- 2 Complete custodian onboarding and KYB documentation
- 3 Configure API credentials in UnyKorn platform settings
- 4 Define multi-signature approval structure for transactions
- 5 Test settlement routing in the sandbox environment
- 6 Confirm segregated account structure per program
- 7 Document custody arrangement in offering disclosures

NEXT STEPS — UnyKorn Capital Markets Partnership Desk

BD Partnerships: partners@unkorn.org
RWA Programs: rwa@unkorn.org
Compliance: compliance@unkorn.org
Phone: +1 (470) 871-0517
Platform: brokerdealer.unkorn.org
Main: www.unkorn.org
Office: 5655 Peachtree Parkway, Suite 100, Norcross, Georgia 30099

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