



PARTNERSHIP MODEL

# Broker-Dealer Partnership Program

Engagement Model, Revenue Structure, and Onboarding Timeline

**UnyKorn Capital Markets**

5655 Peachtree Parkway, Suite 100, Norcross, Georgia 30099  
+1 (470) 871-0517 | bd@unkorn.org | partners@unkorn.org  
www.unykorn.org | brokerdealer.unykorn.org

**Classification:**

Restricted — BD Only

**Date:**

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**OVERVIEW**

The UnyKorn Broker-Dealer Partnership Program is structured as an infrastructure licensing arrangement. The sponsoring broker-dealer retains full regulatory ownership of all offerings, investor relationships, and supervisory obligations. UnyKorn provides the platform layer under a technical services agreement that explicitly excludes dealer activity.

Revenue sharing is negotiated on a per-program basis. Standard terms reflect a platform fee on gross proceeds, a per-investor processing fee for onboarding automation, and an optional white-label services fee for custom document and branding packages. Volume tiers reduce per-transaction rates for programs exceeding defined annual issuance thresholds.

Onboarding proceeds in four phases: (1) Legal and compliance alignment, including review of the technical services agreement by partner counsel; (2) Technical configuration of the platform to the BD's supervisory policy requirements; (3) Test transaction cycle in the sandbox environment; (4) Production launch with a defined go-live sequence and escalation matrix.



## PARTNER IMPLEMENTATION CHECKLIST

- 1 Execute mutual NDA prior to program-level disclosure
- 2 Review and negotiate technical services agreement
- 3 Define supervisory policy matrix with compliance officer
- 4 Complete sandbox integration and test transaction cycle
- 5 Submit internal BD approval memo (board or CCO)
- 6 Configure live investor onboarding environment
- 7 Establish reporting cadence and audit-ready data feeds

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### NEXT STEPS — Unykorn Capital Markets Partnership Desk

**BD Partnerships:** [partners@unkorn.org](mailto:partners@unkorn.org)  
**RWA Programs:** [rwa@unkorn.org](mailto:rwa@unkorn.org)  
**Compliance:** [compliance@unkorn.org](mailto:compliance@unkorn.org)  
**Phone:** +1 (470) 871-0517  
**Platform:** [brokerdealer.unkorn.org](http://brokerdealer.unkorn.org)  
**Main:** [www.unkorn.org](http://www.unkorn.org)  
**Office:** 5655 Peachtree Parkway, Suite 100, Norcross, Georgia 30099

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